COMMONWEALTH OF KENTUCKY MINE SAFETY REVIEW COMMISSION ADMINISTRATIVE ACTION NO. 06-MSRC-007

COMMONWEALTH OF KENTUCKY,
OFFICE OF MINE SAFETY AND LICENSING

COMPLAINANT

FINAL ORDER FOR WILLIAM G. RATLIFF

STEVEN R. LESTER, WILLIAM G. RATLIFF, MICKY STAPLETON & VICTOR NEWSOME

RESPONDENTS

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This matter having come before the Commission on the Joint Motion to Approve Settlement Agreement between the Complainant, Office of Mine Safety and Licensing (OMSL) and Respondent William G. Ratliff, and the members of the Commission having reviewed the Settlement Agreement filed on June 6, 2006 in the matter herein, and the Commissioners being sufficiently advised,

IT IS HEREBY **ORDERED** as follows:

- 1. The Joint Motion to Approve Settlement Agreement is **GRANTED**;
- 2. The formal hearing and pre-hearing conference are **CANCELLED**;
- 3. The Settlement Agreement signed by the parties and filed with the Commission is hereby incorporated by reference as if reproduced herein in full as a material part of this FINAL ORDER. A copy is attached hereto;

- 4. This Final Order only resolves the matters referenced herein, and any new enforcement action or subsequent penalties imposed during any probationary period are in addition to and not in lieu of those that may be sought by OMSL for the acts or omissions during that probationary period. This Final Order in no way prohibits OMSL from investigating or filing legal action based upon other activities or omissions by the Respondent not arising from the factual basis of the original violation and resolved herein.
- 5. This Final Order shall be considered to constitute a "first offense" as that term is defined in 805 KAR 8:010, Section 1(5). Future allegations of separate offenses by Respondent, resulting in an adjudication of guilt by the Commission, shall be deemed "subsequent offenses" as defined by 805 KAR 8:010, Section 1(13);
- 6. This Final Order represents a final disposition of all issues between the Complainant and the Respondent, in these matters. Failure to comply with the terms and conditions of this Final Order may result in further action by the Commission; and
- 7. Appellate rights: Pursuant to KRS 351.194(8) and KRS 13B.140(1), an appeal of an order of the Commission must be filed in the Franklin Circuit Court within thirty (30) days of the entry of this Final Order. The petition shall include the names and addresses of all parties of record in this proceeding and shall include a statement of the grounds upon which review is requested. A copy

of this Final Order shall accompany the petition. Copies of the petition must be served upon the Commission and all parties of record in this proceeding.

Entered this the 8 TH day of June 2006.

Denise Moore Davidson, Chair

MINE SAFETY REVIEW COMMISSION

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing ORDER was served by certified mail, return receipt requested, and by regular mail, postage pre-paid to the following this

TH day of June 2006:

WILLIAM G. RATLIFF 1303 ROCKY ROAD PIKEVILLE KY 41501-8010

VICTOR NEWSOME 300 SLONE HOLLOW ROAD BEAVER KY 41604-6314

And by messenger mail to:

JENNIFER CABLE SMOCK
OFFICE OF LEGAL SERVICES
NATURAL RESOURCES LEGAL DIVISION
#2 HUDSON HOLLOW
FRANKFORT KY 40601
502/564-2356
FAX 502/564 - 9212
Attorney for OMSL

And the original shall be kept on file:

Mine Safety Review Commission 132 Brighton Park Blvd. Frankfort Ky 40601

Bryon Alan Thompson

Hearing Officer & General Counsel Mine Safety Review Commission

COMMONWEALTH OF KENTUCKY MINE SAFETY REVIEW COMMISSION ADMINISTRATIVE ACTION NO. 06-MSRC-007

OOT COMPLAINANTS

COMMONWEALTH OF KENTUCKY
OFFICE OF MINE SAFETY AND LICENSING

VS. <u>SETTLEMENT AGREEMENT BETWEEN OMSL</u> AND WILLIAM G. RATLIFF

STEVEN LESTER, WILLIAM G. RATLIFF, MICKY STAPLETON and VICTOR NEWSOME

RESPONDENTS

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Comes the Complainant, the Kentucky Office of Mine Safety and Licensing ("OMSL"), and Respondent, William G. Ratliff ("Ratliff"), and hereby state that they have agreed to a settlement of the above styled disciplinary proceeding, according to the following terms:

In consideration of the final resolution of this action against him, and the cancellation of the hearing as it pertains to him that is currently scheduled for June 8, 2006, Ratliff hereby admits that he was a foreman at the Ivy Fork Mining, LLC No. 1 mine. As such, Ratliff admits that pursuant to KRS 352.350(3) he is responsible for the violations cited during the inspection beginning on October 17, 2005, as referenced in the Complaint. Therefore, Ratliff agrees to the following:

- 1. Ratliff agrees to the probation of his underground mine foreman's certificate (#A-62-05), underground coal miner's certificate, shot firer-solid blasting certificate (#PE-024-05), shot firer-conventional certificate (#PE-025-05), MET certificate (#PE-045-00) and drill operator's certificate (#PE-024-05) for a period of six (6) months effective upon the approval and entry of this settlement agreement by the Mine Safety Review Commission.
- 2. During this six month probationary period, Ratliff shall comply with all state and federal mine safety laws and regulations. The willful violation by Ratliff of any of said laws and

regulations may result in OMSL filing a motion with the Mine Safety Review Commission to revoke his underground mine foreman's certificate for the remainder of the probationary period.

3. Within forty-five (45) days of the effective date of this Settlement Agreement, Ratliff must take eight (8) hours of special safety training from OMSL at its Pikeville District

Office.

4. Ratliff further agrees that this Settlement Agreement and any final order issued by the Mine Safety Review Commission will be considered by the Commission to constitute a "first offense" as that term is defined at 805 KAR 8:010, Section 1(13).

5. Ratliff understands and agrees that he has had the opportunity to retain an attorney to represent him in this matter, but he has chosen to represent himself. Ratliff further states that he has carefully read this Settlement Agreement (or that the Settlement Agreement has been read to him), that he carefully considered the Agreement prior to signing it and that he understands and agrees to its terms and provisions.

6. OMSL and Ratliff acknowledge and agree that there are no further promises, inducements, representations, or agreements in connection with this Settlement Agreement other than those expressly set forth in writing herein.

WILLIAM G. RATLIFF, Respondent

1303 Rocky Road

Pikeville, Kentucky 41501

DATE

6-5-06 DATE

OHNNY GREENE, Acting Executive Director Kentucky Office of Mine Safety and Licensing

P.O. Box 2244

Frankfort, Kentucky 40602